

13281 U.S. PTO
031904

**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new non-provisional applications
under 37 CFR 1.53(b))

Check Box, if applicable [] Duplicate

Attorney Docket No.

040141

Total Pages

First Named Inventor or Application Identifier

**Tsuyoshi AOKI, Kazuaki KURIHARA and
Makoto KUWABARA**

Express Mail Label No.

17548 U.S. PTO
10/804061

APPLICATION ELEMENTS FOR:
**OPTICAL FUNCTION DEVICE USING PHOTONIC
CRYSTAL, VARIABLE WAVELENGTH OPTICAL
FILTER AND VARIABLE WAVELENGTH LIGHT
SOURCE**

ADDRESS TO: Commissioner for Patents
MAIL STOP BOX PATENT APPLICATIONS
P.O. Box 1450
Alexandria, VA. 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages **[34]**

3. ☒ Drawing(s) (35 USC 113) Total Sheets **[17]**

4. ☒ Oath or Declaration Total Pages **[5]**

a. ☒ Newly executed (original)

b. [] Copy from prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed).

i. [] Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

5. [] Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is
supplied under Box 4b, is considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.

6. [] Microfiche Computer Program (Appendix)

7. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [] Computer Readable Copy

b. [] Paper Copy (identical to computer copy)

c. [] Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s)) to: FUJITSU LIMITED, Kawasaki, Japan and JAPAN AS
REPRESENTED BY THE PRESIDENT OF THE UNIVERSITY OF TOKYO, Tokyo, Japan.

Please publish the assignee data with the application.

9. [] 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

13281 U.S. PTO
031904

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

040141

First Named Inventor or Application Identifier

**Tsuyoshi AOKI, Kazuaki KURIHARA and
Makoto KUWABARA**

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement w/PTO-1449 [1] Copy of IDS Citation (1 reference)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document
a. Priority of Japanese application no. 2003-077750 filed on March 20, 2003 is claimed under 35 USC 119.
16. ☐ Other _____
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____

| FEE TRANSMITTAL The filing fee is calculated below | Number Filed | Number Extra | Rate | Basic Fee \$ 770.00 |
|---|--------------|--------------|-----------|------------------------|
| Total Claims | 25 - 20 | 5 | x \$18.00 | \$ 90.00 |
| Independent Claims | 7 - 3 | 4 | x \$86.00 | \$ 344.00 |
| Multiple Dependent Claims | | | \$290.00 | |
| Filing Fee | | | | \$1204.00 |
| Reduction by 1/2 for small entity | | | | |
| Fee for recording enclosed Assignment | | | \$40.00 | \$ 40.00 |
| TOTAL | | | | \$1244.00 |

**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

040141

First Named Inventor or Application Identifier

**Tsuyoshi AOKI, Kazuaki KURIHARA and
Makoto KUWABARA**

PAGE 3 OF 3

☒ [XX] A check in the amount of \$1244.00 is enclosed to cover the filing fee of \$770.00, the fee of \$90.00 for extra claims, the fee of \$344.00 for extra independent claims and the assignment recordation fee of \$40.00.

☐ [] Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**.

18. CORRESPONDENCE ADDRESS:

Armstrong, Kratz, Quintos,
Hanson & Brooks, LLP
Suite 1000, 1725 K Street, N.W.
Washington, D. C. 20006
Tel: (202) 659-2930
Fax: (202) 887-0357



23850

PATENT TRADEMARK OFFICE

Typed or Printed Name **James E. Armstrong IV**

Reg. No. **42,266**

Signature

Date: **March 19, 2004**

JAM:jaz